



**INTERIM  
RETAILER TERMS AND CONDITIONS  
FOR  
ELECTRIC DISTRIBUTION SERVICE**

<b>Effective</b>	January 1, 2024	Decision 28570-D01-2023
<b>Supersedes</b>	January 1, 2023	Decision 27672-D01-2022

**TABLE OF CONTENTS**

<b>ARTICLE 1 – PREAMBLE.....</b>	<b>4</b>
<b>ARTICLE 2 – DEFINITIONS AND INTERPRETATION .....</b>	<b>5</b>
2.1 Definitions.....	5
2.2 Conflicts.....	10
2.3 Headings .....	10
2.4 Schedules and Appendices.....	10
<b>ARTICLE 3 – GENERAL PROVISIONS .....</b>	<b>11</b>
3.1 Commission Approval .....	11
3.2 Distribution Tariff .....	11
3.3 Effective Date .....	11
3.4 Terms and Conditions Prevail .....	11
3.5 Retailer Guide .....	12
3.6 Ownership of Facilities .....	12
3.7 New Facilities and Service Additions .....	12
<b>ARTICLE 4 – GENERAL OBLIGATIONS OF RETAILERS.....</b>	<b>13</b>
4.1 Timeliness, Due Diligence and Security Requirements .....	13
4.2 Arrangements with Customers .....	13
4.3 Responsibility for Electric Purchases .....	13
4.4 Retailer Authorization .....	14
4.5 Retailer Identification.....	14
4.6 Single Retailer for Customer .....	14
4.7 Fees and Other Charges.....	14
<b>ARTICLE 5 – CUSTOMER INQUIRIES AND CUSTOMER INFORMATION.....</b>	<b>14</b>
5.1 Customer Inquiries .....	14
5.2 Customer Inquiries Related to Emergency Situations and Outages.....	15
5.3 Customer Information.....	15
5.3.1 Provision of Customer Information to a Retailer.....	15
5.3.2 Provision of Customer Information to the Company.....	15
<b>ARTICLE 6 – PROVISION OF SERVICE .....</b>	<b>16</b>
6.1 Qualification for Service .....	16
6.2 Application for Site Enrollment .....	17
<b>ARTICLE 7 – BILLING &amp; PAYMENT .....</b>	<b>19</b>
7.1 Retail Billing.....	19
7.2 Payment and Collection Terms.....	20
7.3 Late or Unpaid Bills .....	20
7.4 Adjustment of Bills.....	21
7.4.1 Billing Error .....	21
7.4.2 Unauthorized Use .....	22
7.5 Cessation of Distribution Tariff charges relating to Oil and Gas Service .....	22



**ARTICLE 8 – ELECTRIC DISTRIBUTION SERVICE INTERRUPTION.....23**

8.1 Continuous Supply ..... 23

8.2 Interruption ..... 23

8.3 Reasonable Efforts..... 23

**ARTICLE 9 – DISCONTINUANCE OF ELECTRIC DISTRIBUTION SERVICE .....24**

9.1 Discontinuance by the Retailer ..... 24

9.2 Discontinuance by the Company ..... 25

**ARTICLE 10 – SERVICE DISCONNECTS AND RECONNECT .....26**

10.1 Disconnection of Service..... 26

10.1.1 Disconnection by the Company ..... 26

10.1.2 Disconnection at Request of Retailer..... 26

10.1.3 Disconnection at Request of Customer..... 27

10.1.4 Permanent Disconnection..... 28

10.2 Reconnect Service ..... 28

**ARTICLE 11 – PRUDENTIAL REQUIREMENTS ..... 29**

11.1 Setting of Prudential Requirements ..... 29

11.2 Maintaining Prudential Requirements ..... 30

11.3 Confidentiality..... 32

11.4 Costs ..... 32

11.5 Interest on Security Deposits ..... 33

11.6 Letter of Credit Default ..... 33

**ARTICLE 12 – METERING..... 33**

12.1 Provision and Ownership ..... 33

12.2 Meter Reading..... 34

12.3 Changes to Metering Equipment..... 34

12.4 Meter Test and Adjustments ..... 35

**ARTICLE 13 – LOAD SETTLEMENT ..... 36**

13.1 Request for Additional Information..... 36

13.2 Liability ..... 36

**ARTICLE 14 – DEFAULT ..... 36**

14.1 Events of Default ..... 36

14.2 Rights Upon Default ..... 37

14.3 Recourse to Security Upon Retailer Default ..... 37

**ARTICLE 15 – LIABILITY AND INDEMNITY..... 38**

15.1 Indemnity..... 38

15.2 Consequential Loss..... 40

15.3 Release ..... 41

15.4 The Company Not Liable to Customer..... 41

**ARTICLE 16 – FORCE MAJEURE..... 42**

16.1 Force Majeure Relief ..... 42



Page: 3  
Effective: 2024-01-01  
Supersedes: 2023-01-01

16.2 Exclusions ..... 42

16.3 Notice ..... 42

16.4 Obligation to Remedy..... 42

16.5 Strikes and Lockouts ..... 43

**ARTICLE 17 – DISPUTE RESOLUTION ..... 43**

17.1 Resolution by Company and Retailer ..... 43

17.2 Resolution by Arbitration ..... 43

17.3 Arbitrators ..... 43

17.4 Failure to Concur ..... 44

17.5 Refusal to Appoint an Arbitrator ..... 44

17.6 Failure to Appoint a Third Arbitrator ..... 44

17.7 Technical Competence ..... 44

17.8 Compensation of Arbitrators ..... 45

17.9 Application of the Arbitration Act (Alberta) ..... 45

17.10 Decisions Binding..... 45

17.11 Continuity of Service ..... 45

**ARTICLE 18 – MISCELLANEOUS..... 45**

18.1 Independent System Operator or Transmission Facility Owner Requirements ..... 45

18.2 Compliance with Applicable Legal Authorities ..... 46

18.3 No Assignment ..... 46

18.4 No Waiver..... 46

18.5 Law ..... 47

18.6 Invalidity of Contractual Provisions ..... 47

**ARTICLE 19 – NOTICE ..... 47**

**SCHEDULE A – RETAIL SERVICE AGREEMENT ..... 49**

**SCHEDULE B – DISCONNECT CUSTOMER SITE ..... 53**

## ARTICLE 1 – PREAMBLE

In accordance with the provisions of the *Electric Utilities Act* ("the Act") and the Regulations made thereunder ("Regulations"), ATCO Electric Ltd. ("ATCO Electric") will, for certain Customers, act solely as a wire services provider which will not be responsible for providing electricity directly to these end-use Customers. In its role as a wire service provider ATCO Electric will enable Retailers to acquire access to its electric distribution system for the purposes of allowing them to sell electricity directly to end-use Customers. An end-use Customer may also act as a Self-Retailer by carrying out retailer functions to obtain Electricity Services solely for its own use.

These Terms and Conditions, known as the "Retailer Terms and Conditions for Electric Distribution Service", are intended to apply to the relationship between ATCO Electric, as a wire services provider, and all Retailers or any party who will be acting as an Agent on behalf of the Retailer for transactions, including, but not limited to, retail billing and load settlement. These Terms and Conditions will also govern the relationship between ATCO Electric and Customer(s) for whom the Retailer or any another party is acting as an Agent in its dealings with ATCO Electric. These Terms and Conditions serve as a companion to the Customer Terms and Conditions for Electric Distribution Service, which are intended to govern the relationship between ATCO Electric and Customer(s), or any other person the Customer has assigned to act on its behalf in its dealings with ATCO Electric regarding the provision of wire service on its electric distribution system.

These Terms and Conditions outline the rules that Retailers and Agents must follow to engage in Retailer transactions with the Company.

The service provided by ATCO Electric hereunder is regulated by the Alberta Utilities Commission ("AUC"), and parties having any inquiries or complaints regarding these Terms and Conditions may direct such inquiries or complaints directly to ATCO Electric or to the AUC. These Terms and Conditions have been approved by the AUC.

## ARTICLE 2 – DEFINITIONS AND INTERPRETATION

### 2.1 Definitions

The following words and phrases, whenever used in these Terms and Conditions or a Retail Service Agreement, shall have the meanings set forth below:

"*Act*" means the *Electric Utilities Act*, S.A. 2003, c. E-5.1, as amended from time to time;

"*Agent*" means a person who deals and performs functions including, but not limited to, retailer transactions with the Company on behalf of a Self-Retailer or Retailer;

"*AUC Rule 021*" means the specifications, standards, methods, calculations and conventions established under the AUC Settlement System Code, Rule 021, as amended or replaced from time to time;

"*Business Day*" means a business day is any day other than Saturday, Sunday or a holiday as defined in the *Interpretation Act*.

"*Consumer Protection Act*" means the *Consumer Protection Act*, R.S.A. 2000, c.C-26.3, as amended from time to time;

"*Commission*" or "AUC" means the Alberta Utilities Commission established under the *Alberta Utilities Commission Act*, as amended from time to time;

"*Company*" means ATCO Electric Ltd. or its successor;

"*Credit Rating*" shall mean, with respect to a Retailer on any date of determination, (1) the respective rating then assigned to its issuer rating or unsecured and senior, long-term indebtedness (not supported by third party credit enhancement) by S&P, DBRS or Moody's or (2) the issuer rating by S&P, DBRS or Moody's. In the event of a split rating the lower shall prevail;

"*Customer*" means a person purchasing electricity for that person's own use from a Retailer;

*“Customer Information”* means the data specified in AUC Rule 021 and other information, including safety related information, required to provide safe electric service to Customers;

*“Customer Terms and Conditions for Electric Distribution Service”* means the new title of the document formerly known as the Terms and Conditions for Distribution Service Connections. Where reference is made to the Terms and Conditions for Distribution Service Connections in any prior Proposal Letter, Electric Service Agreement, or other agreement, it shall be deemed to be a reference to the Customer Terms and Conditions for Electric Distribution Service, as amended from time to time.

*“Customer Usage Information”* means information regarding the historical electricity consumption of a Customer and includes:

- Site ID;
- Read Date;
- Net Measured Energy (kW.h); and if available
- Net Measured Demand (kW); and
- Net Measured Demand (kV.A).

*“DBRS”* Dominion Bond Rating Service, or its successor;

*“Default Supplier”* means a Retailer appointed pursuant to Section 3 of the *RRR Regulation*;

*“Distribution Tariff”* means a distribution tariff prepared by the Company and approved by the Commission in accordance with the Act, which consists of the Price Schedules and the Terms and Conditions, as amended or replaced from time to time;

*“Electric Distribution Service”* means the service required to transport electricity by means of an electric distribution system as defined in the Act. The term Electric Distribution Service is to replace any reference to Distribution Service Connections or Distribution Access Service which terms were previously used by the Company in prior Proposal Letters, Electric Service Agreements or other agreements;

*"Electric Service Agreement"* means an agreement for the provision of a Service Connection pursuant to the Customer Terms and Conditions, between the Company and a Customer. If no Electric Service Agreement has been signed, a Proposal Letter will be considered an Electric Service Agreement for the purposes of these Terms and Conditions;

*"Electricity Services"* means the services associated with providing electricity to a person, including the exchange of electric energy, making financial arrangements to manage financial risk associated with the pool price, Electric Distribution Service, System Access Service, ancillary services, billing, metering, performing load settlement, and any other services specified in the regulations made by the Minister under Section 115 of the Act;

*"Facilities"* means a physical plant (including, without limitation, transmission and distribution lines, transformers, meters, equipment and machinery);

*"Force Majeure"* means circumstances not reasonably within the control of the Company, including acts of God, strikes, lockouts or other industrial disturbances, acts of the public enemy, wars, blockades, insurrections, riots, pandemics, epidemics, landslides, lightning, earthquakes, fires, storms, floods, high water, washouts, inclement weather, orders or acts of civil or military authorities, civil disturbances, explosions, breakdown or accident to equipment, mechanical breakdowns, temporary failures of electric supply, the intervention of federal, provincial, state or local government or from any of their agencies or boards excluding Decisions and/or Orders made by the AUC in the normal course of it exercising its authority to establish the revenue requirement of the parties to this agreement, the order or direction of any court, and any other cause, whether of the kind herein enumerated or otherwise;

*"Independent System Operator" or "ISO"* means the corporation established pursuant to Section 7 of the Act and currently operating under the name of "Alberta Electric System Operator" or "AESO";

*"Letter of Commitment"* means an agreement to cover capital and other expenditures made by the Company for the provision of a Service Connection early in the project life cycle and before a Backout Electric Service Agreement is completed;



"*Moody's*" shall mean Moody's Investor Services, Inc., or its successor;

"*Point of Service*" means the point at which the Company's service conductors are connected to the conductors or apparatus of a Customer;

"*Power Pool*" means the scheme operated by the Independent System Operator under the Act for exchange of Energy and financial settlement for the exchange of Energy; Act;

"*Price Schedules*" means that portion of the Company's Distribution Tariff which sets out charges including rates, options, and riders schedules;

"*Proposal Letter*" means a letter prepared by the Company outlining the technical parameters, the costs, and the commercial arrangements in response to a Customer's application for a new extension. If no Electric Service Agreement has been signed, a Proposal Letter will be considered an Electric Service Agreement for the purposes of these Terms and Conditions;

"*Qualified Institution*" means a Schedule I Chartered Bank in Canada, a major U.S. commercial bank, or a foreign bank with a U.S. or Canadian branch office which is not the Retailer (or a subsidiary or affiliate of the Retailer) having assets of at least CAD 10 billion and with a Credit Rating of a least "A" by S&P (or an equivalent rating by a comparable credit rating service);

"*RRR Regulation*" means the *Roles, Relationships and Responsibilities Regulation*, A.R. 169/2003, as amended from time to time;

"*Regulated Rate Option Provider*" means the party authorized by ATCO Electric to provide electricity services to eligible customers in the ATCO Electric service area under a regulated rate tariff;

"*Retail Service Agreement*" means an agreement for the provision of Electric Distribution Service pursuant to these Terms and Conditions between the Company and a Retailer, in the form attached as Schedule A hereto;

"*Retailer*" means a person who sells or provides retail Electricity Services and includes an affiliated retailer;

*"Retailer Business Function Identification"* means the two (2) character identification as identified in AUC Rule 021;

*"Retailer Business Number"* means the nine (9) digit number used to uniquely identify each person entering into a Retail Service Agreement with the Company. The Canada Customs and Revenue Agency business number will be used as the Retailer Business Number;

*"Retailer Guide"* means the guide prepared by the Company which describes the business processes for the transactions between the Company and the Retailer in relation to the provision of Service under these Terms and Conditions;

*"Retailer Identification"* means the number assigned by the ISO to a Retailer who has identified a Site or a number of Sites to be enrolled under the same Retailer Identification;

*"Retailer of Record"* means the Retailer who is listed in the Company's records through the procedures outlined in these Terms and Conditions, and thereby recognized by the Company and AUC Rule 021, as a particular Customer's Retailer for a Point of Service at a particular time;

*"Rural Lands"* means a parcel of land which is situated outside the boundaries of a city, town, village, summer village or a specialized municipality;

*"S&P"* means Standard & Poor's Financial Services LLC, or its successor;

*"Self-Retailer"* means a person, carrying out Retailer functions to obtain Electricity Services solely for its own use;

*"Site"* means a unique end-use Point of Service, being the finest level at which settlement recognizes retailer assignments, and receives consumption data;

*"Site ID"* means a unique identification number assigned by the Company for each unique end-use Point of Service;

*"System Access Service"* means the service obtained by market participants through a connection to the transmission system, and includes access to exchange electric energy and ancillary services as per the Act;

“*Tariff Billing Code*” refers to the Alberta Tariff Billing Code Rules (AUC Rule 004), established by the AUC as amended from time to time;

“*Terms and Conditions*” means these Retailer Terms and Conditions for Electric Distribution Service, which were formerly known as the Terms and Conditions for Distribution Access Service. Where reference is made to the Terms and Conditions for Distribution Access Service in any prior Proposal Letter, Electric Service Agreement, or other agreement, it shall be deemed to be a reference to these Retailer Terms and Conditions for Electric Distribution Service, as amended from time to time;

“*Transmission Facility Owner*” or “*TFO*” means the owner of a transmission facility, as defined in the Act.

## 2.2 Conflicts

- (a) If there is any conflict between a provision expressly set out in an Order of the Commission and these Terms and Conditions, the Order of the Commission shall govern.
- (b) If there is any conflict between a provision expressly set out in these Terms and Conditions, as may be amended from time to time, and a Retail Service Agreement, the express provision of these Terms and Conditions shall govern, as of their effective date.

## 2.3 Headings

The division of these Terms and Conditions into sections, subsections and other subdivisions and the insertion of headings are for convenience of reference only and shall not affect the construction or interpretation of these Terms and Conditions.

## 2.4 Schedules and Appendices

The following schedules and appendices are attached to and form part of these Terms and Conditions:

- Schedule A – Retail Service Agreement
- Schedule B – Disconnect Customer Site
- Schedule of Supplementary Service Charges (available at [atco.com](https://www.atco.com))

## ARTICLE 3 – GENERAL PROVISIONS

### 3.1 Commission Approval

These Terms and Conditions have been approved by the Commission. The Company may amend these Terms and Conditions by filing a notice of amendment with the Commission. Included in the notice to the Commission shall be notification of which Retailers are affected by the amendment and an explanation of how affected Retailers will be notified of the amendments. The amendment will take effect sixty (60) days after such notice is filed, unless the Commission otherwise directs.

### 3.2 Distribution Tariff

The Company's Electric Distribution Tariff is available for public inspection during normal business hours at the business offices of the Company and at the offices of the Commission and can be accessed at [atco.com](http://atco.com). These Terms and Conditions form part of the Distribution Tariff and are established pursuant to Section 2 of the *Distribution Tariff Regulation*, A.R. 162/2003.

### 3.3 Effective Date

These Terms and Conditions are in effect as of the indicated effective date. Whenever the Company files notice of an amendment to these Terms and Conditions, or when the Commission approves an amendment to these Terms and Conditions, revisions will be issued, with the effective date of the amendments indicated thereon.

### 3.4 Terms and Conditions Prevail

- (a) These Terms and Conditions, as amended from time to time, apply to the Company and to each Retailer. These Terms and Conditions also govern the relationship between the Company and Customer(s) for whom the Retailer is acting as an Agent in its dealings with ATCO Electric.
- (b) These Terms and Conditions also apply to any party appointed as Agent for a Retailer pursuant to an executed Agency Appointment Agreement, as set out in the Retailer Guide.

- (c) No agreement can provide for the waiver or alteration of any part of these Terms and Conditions unless such agreement is first filed with and approved by the Commission.

### 3.5 Retailer Guide

The Company has developed the Retailer Guide to help Retailers and Customers understand the normal practices of the Company. In addition, the Retailer Guide includes agreements and forms applicable to retailer qualification and business processes. The Retailer Guide is available on the Company website at [atco.com](https://atco.com). The Retailer Guide will be updated, from time to time, to reflect changes to the electric utility industry, or the changing needs of the Retailers or Customers. The Company is committed to follow practices in the Retailer Guide. However, as these practices will likely not cover every situation that arises, it may be necessary to deviate from the Retailer Guide in certain circumstances.

### 3.6 Ownership of Facilities

- (a) The Company remains the owner of all Facilities necessary to provide Electric Distribution Service, unless an agreement between the Company and the Retailer or Customer specifically provides otherwise.
- (b) Payment made by Retailers or Customers for costs incurred by the Company in installing Facilities does not entitle Retailers or Customers to ownership of any such Facilities or any intellectual property, engineering, design, or other information or data, or any other rights relating to or in respect to such Facilities unless an agreement between the Company and the Customer specifically provides otherwise.

### 3.7 New Facilities and Service Additions

The Company reserves the right to communicate directly with the Customer in respect of any requests made by the Customer, or a party acting on its behalf, for the construction of new facilities or additional services as provided for in the *Billing Regulation, A.R. 159/2003*, as may be amended from time to time. The Company reserves the right to charge the Customer directly for any amounts required to be provided by the Customer

under the Customer Terms and Conditions for Electric Distribution Service. Retailers shall refer to the Company's Customer Guide to New Extensions (available at [atco.com/CustomerGuideNewExtensions](https://atco.com/CustomerGuideNewExtensions)) for details of the requirements with respect to new facilities and service additions.

## **ARTICLE 4 – GENERAL OBLIGATIONS OF RETAILERS**

### **4.1 Timeliness, Due Diligence and Security Requirements**

- (a) The Retailer shall exercise due diligence and use reasonable efforts in meeting its obligations hereunder, and perform same in a timely manner.
- (b) The Retailer shall adhere to all credit, deposit and security requirements specified in these Terms and Conditions.
- (c) The Retailer shall make every effort to ensure that its Customers are aware of the provisions of these Terms and Conditions that may affect the Customer(s).

### **4.2 Arrangements with Customers**

Unless otherwise stated herein, the Retailer shall be solely responsible for having appropriate contractual or other arrangements with Customer(s) necessary to provide service to Customers. The Company shall not be responsible for monitoring, reviewing or enforcing such contracts or arrangements and shall not be liable for any loss, damages, cost, injury, expense or other liability, whether direct, indirect, consequential or special in nature, howsoever caused, as a result of the Retailer's failure to perform its obligations to its Customer(s).

### **4.3 Responsibility for Electric Purchases**

The Retailer will be solely responsible for the purchase of electricity from the Power Pool and for arranging the delivery of such electricity to the Point of Service for Customers, subject to these Terms and Conditions.

#### **4.4 Retailer Authorization**

The Retailer shall be responsible for obtaining authorization from each Customer authorizing the enrollment of the Customer for receipt of Electric Distribution Service by such Retailer.

#### **4.5 Retailer Identification**

Any information exchange or communications between the Retailer and the Company under these Terms and Conditions shall employ a Retailer Identification number as set out in the AUC Rule 021.

#### **4.6 Single Retailer for Customer**

The Company shall not be required to recognize and deal with more than one Retailer in respect of a Point of Service at any given time. Nothing in these Terms and Conditions shall prohibit a Customer from entering into arrangements with multiple Retailers for a Distribution Point of Service, provided that a single Retailer is designated to be the Customer's Retailer for the purposes of these Terms and Conditions.

#### **4.7 Fees and Other Charges**

The Company will provide all standard services hereunder pursuant to the Distribution Tariff. All additional and supplementary services provided by the Company to a Retailer will be charged a separate rate or fee, such as those included, without limitation, in the Schedule of Supplementary Service Charges. Payment for these services shall be in accordance with the provisions of these Terms and Conditions.

### **ARTICLE 5 – CUSTOMER INQUIRIES AND CUSTOMER INFORMATION**

#### **5.1 Customer Inquiries**

For Customers requesting information on Retailers, the Company will make available the following information:

- (a) notification and informational materials to consumers about competition and consumer choices;

- (b) direct Customers, on request, to a source where they may obtain the current list of licensed Retailers operating in accordance with the *Consumer Protection Act*. The Company is under no obligation to ensure the accuracy of this list.

## 5.2 Customer Inquiries Related to Emergency Situations and Outages

Retailers shall make every effort to ensure Customers contacting the Retailer regarding distribution emergency conditions, outages, safety or environment situations related to the Company's distribution system are referred directly to the Company immediately. The Company reserves the right, without providing notice to the Retailer, to test or audit the response time of the Retailer. The Company will communicate any unacceptable patterns to the Retailer to be corrected.

## 5.3 Customer Information

### 5.3.1 Provision of Customer Information to a Retailer

The provision of historical usage information to Retailers and the Default Supplier will be in accordance with the AUC Rule 010 "Rules on Standards for Requesting and Exchanging Site-Specific Historic Usage Information for Retail Electricity and Natural Gas Markets."

### 5.3.2 Provision of Customer Information to the Company

The Retailer must promptly notify the Company of any changes to Customer Information, as the Company relies on this information to reasonably perform its Electric Distribution Service obligations to Customers. Such information shall be provided in a form described in the AUC Rule 021. The Company shall not be liable for any loss, damages, cost, injury, expense or other liability, whether direct, indirect, consequential or special in nature, howsoever caused, as a result of the Retailer's failure to provide up-to-date and accurate Customer Information to the Company. The Company reserves the right to assess a charge to recover the costs incurred by the Company for additional work undertaken by the Company as a result of inaccurate Customer Information provided by the Retailer.



## ARTICLE 6 – PROVISION OF SERVICE

### 6.1 Qualification for Service

The Retailer must fulfill the following requirements to the satisfaction of the Company before the Company will provide Electric Distribution Service for that Retailer. The Retailer must:

- (a) submit to the Company a fully completed, executed Retail Service Agreement and a Retailer of Record and Credit Application Form as set out in the Retailer Guide;
- (b)
  - (i) for Retailers providing service to Customers whose annual consumption is below 250,000 kWh, furnish a certified copy of the license issued to it and warrant in writing to the Company that it is licensed pursuant to and will comply with the provisions of the *Consumer Protection Act*, and any regulations or policies made thereunder;
  - (ii) for Retailers providing service to Customers whose annual consumption exceeds 250,000 kWh, warrant in writing to the Company that it will comply with the provisions of the *Consumer Protection Act*, and any regulations or policies made thereunder;
- (c) with the exception of the Retailer for whom the Company has made arrangements to provide the regulated rate tariff, must satisfy the credit requirements of the Company as set forth in Article 11 hereof;
- (d) warrant in writing to the Company that it will comply with the guidelines established in the AUC Rule 021;
- (e) meet the compliance testing protocol of the Company in respect of information exchange, which protocol is set forth in the Retailer Guide;
- (f) warrant in writing to the Company that it has been qualified by the Power Pool as a participant therein, and can receive electricity from the Power Pool; and
- (g) meet any other requirements that the Company, acting reasonably, may impose in order to provide Electric Distribution Service hereunder to the Retailer. If the

Company determines that a Retailer must satisfy additional requirements in order to qualify for Electric Distribution Service, the following process will apply:

- (i) where the Company is confronted with a situation which would likely materially alter the risk to the Company, or in order to comply with applicable legislation, the Company may implement the additional requirement and then apply to the Commission for approval of same; or,
- (ii) where the Company is not confronted with the circumstances outlined in (i), above, the Company shall apply to the Commission for approval of the proposed additional requirement prior to implementing same.

Upon satisfaction of the above requirements, the Company will provide Electric Distribution Service to the Retailer, subject to these Terms and Conditions set out herein. Subject to complying with all applicable laws, and the directions or requirements of any of the entities mentioned above, the Company reserves the right, acting reasonably, to discontinue Electric Distribution Service to the Retailer if at any time the Retailer no longer fulfills the above requirements upon giving the Retailer ten (10) Business Days' notice or such lesser notice period expressly set out in Articles 7.3 and Article 11.2(d).

## 6.2 Application for Site Enrollment

- (a) In order to initiate the provision of Electric Distribution Service by the Company, the Retailer shall complete and provide to the Company an enrollment for Electric Distribution Service in the form and manner set out in the Retailer Guide and in compliance with AUC Rule 021. The Retailer shall provide updated Customer Information with each application for Site enrollment where applicable.
- (b) The Company will, subject to the Retailer meeting the provisions of these Terms and Conditions, accept an enrollment by a Retailer for provision of Electric Distribution Service hereunder. The Company reserves the right to verify the identity of the Customer and the accuracy of the Customer Information.

- 
- (c) Upon receipt of a valid enrollment from a Retailer in the form and manner set out in AUC Rule 021, the Company will recognize the Retailer as the Retailer of Record for that particular Site.
  - (d) Enrollments will be processed for Retailers by the Company on a first-come, first-served basis, followed by the Default Supplier at the end of business day. Each enrollment will be time and date-stamped when received by the Company
  - (e) Once the enrollment is submitted, the Company will provide the Retailer, in accordance with AUC Rule 021, a status notification informing the Retailer whether the enrollment has been accepted or rejected.
  - (f) In accordance with Article 12 of these Terms and Conditions, the Company will obtain meter reads from time to time. If the Company does not obtain an actual read at the time of the enrollment, de-enrollment or customer move in and out; the Company will estimate a meter read. At the request of the Retailer, or with the Retailer's consent, the Company shall obtain an actual off-cycle meter read and assess a charge to the Retailer as set forth in the Schedule of Supplementary Service Charges.
  - (g) If a Retailer finds that it has enrolled an incorrect Site, that Retailer shall notify the Company as soon as reasonably possible. Upon receiving notice from the Retailer, the Company will notify the previous Retailer to enroll the Site. The Company may assess a charge for processing an enrollment under this section as set forth in the Schedule of Supplementary Service Charges.
  - (h) If the Company determines that the Site (Customer) who has been enrolled with the Retailer is indebted to the Company, the Company reserves the right to disconnect electric service to that Customer as set forth in Article 10 hereof.
  - (i) The Retailer will not be liable to the Company for any outstanding indebtedness of the Customer to the Company, which accrued prior to the receipt by the Retailer of Electric Distribution Service hereunder.

- (j) The Company may assess a charge for processing an enrollment as set forth in the Schedule of Supplementary Service Charges.

## ARTICLE 7 – BILLING & PAYMENT

### 7.1 Retail Billing

The Company will bill the Retailer for Electric Distribution Services provided to the Retailer in accordance with the billing procedures set out as follows:

- (a) The Company will invoice the Retailer each billing cycle for Electric Distribution Service provided by the Company for the period prior to the billing cycle. The Company will bill the Retailer off-cycle as per the AUC Rule 004 as required from time to time.
- (b) The Company will not assume any billing or collection obligations or responsibilities for or on behalf of the Retailer. The Retailer shall process Customer payments and handle collection responsibilities. The Company may, at its sole discretion and in addition to any other remedies available to it, restrict enrollment or terminate Electric Distribution Service to the Retailer, if such Retailer does not pay all outstanding bills in accordance with these Terms and Conditions.
- (c) The Company reserves the right to bill the Customer directly for any amounts required to be provided by the Customer under the Customer Terms and Conditions for Electric Distribution Service. The Retailer shall refer to the Customer Guide to New Extensions (available at [atco.com/CustomerGuideNewExtensions](https://atco.com/CustomerGuideNewExtensions)) or the Customer Terms and Conditions for Electric Distribution Service with respect to these services.
- (d) Retailers or any party acting as an Agent on behalf of Retailers are required to provide Customers with notification of a Company distribution rate change in the billing envelope, or through the electronic billing and payment process, that accompanies the first charge to the Customer at the new rate.

## 7.2 Payment and Collection Terms

- (a) The Retailer shall pay to the Company, on or before the 11<sup>th</sup> Business Day following the Business Day on which the Retailer was invoiced, the amount invoiced by the Company for the preceding period.
- (b) The Company will establish an electronic billing and payment procedure for the payment of services hereunder. Notwithstanding, the Company will accept payment by cash or certified cheque if agreed to by the Company.
- (c) The Company has established two electronic billing options for Retailers electing to send and receive payments electronically. The Preauthorized Payment Agreement ("Authorization") and the Electronic Payment Transfer Agreement, as set out in the Retailer Guide, set forth the terms and conditions for making payments and providing remittance information electronically.
- (d) The Retailer shall pay all amounts owed to the Company for any of the Electric Distribution Services provided by the Company whether or not the Customer has paid the Retailer.
- (e) Failure to receive an invoice does not release a Retailer from the obligation to pay the amount owing for any of the Electric Distribution Services provided by the Company.

## 7.3 Late or Unpaid Bills

If a Retailer defaults or is late in paying charges, the Company will provide the Retailer notice as required by Section 12 of the *Distribution Tariff Regulation*, A.R. 162/2003, and will be entitled to draw on the credit facility of the Retailer if the Retailer's arrears are not paid within three (3) Business Days after the date of the notice. The Company may also discontinue or restrict Electric Distribution Service to the Retailer upon three (3) Business days' notice if, in its opinion, not doing so could impair its ability to use the Retailer's security for continuing arrears or amounts that have not been billed but are owed to the Company. The Company may require an additional deposit to replace the funds drawn down because of the default or late payment by the Retailer. The Company shall charge a Late Payment Charge as set forth in the Schedule of Supplementary Service Charges.

## 7.4 Adjustment of Bills

### 7.4.1 Billing Error

Should the Retailer dispute any amount owing, the Retailer shall nonetheless pay such disputed amount and submit the dispute for resolution in accordance with these Terms and Conditions.

For those Customers to which the RRO Regulation is applicable, where the Company overcharges or undercharges on a bill as a result of a billing error including, but not limited to, incorrect meter reads or any calculation, rate application or clerical error, the Company shall render an adjusted bill, upon resolution of the disputed amount, in accordance with the RRO Regulation, without interest.

For those Customers to which the RRO Regulation is not applicable, where the Company overcharges or undercharges on a bill as a result of a billing error including, but not limited to, incorrect meter reads or any calculation, rate application or clerical error, the Company shall render an adjusted bill, upon resolution of the disputed amount, without interest, in accordance with the following:

- (a) If a Retailer is found to have been overcharged the Company will calculate the amount of the overcharge and will refund the amount to the Retailer forthwith;
- (b) If a Retailer is found to have been undercharged the Company will bill the Retailer for those billing periods during which a billing error occurred up to a maximum of two (2) year immediately preceding the month in which the billing error was discovered.

In circumstances where a billing dispute has been initiated by the Retailer and the Company has been found not to be in error the Company may assess a Billing and Meter Dispute fee to the Retailer as established in the Schedule of Supplementary Service Charges.

Whenever the Company renders an adjusted bill to the Retailer in the event of a billing error, the Retailer shall be responsible for adjusting bills and issuing refunds or credits as appropriate to the affected Customers.

#### 7.4.2 *Unauthorized Use*

Where the Company determines that there has been unauthorized use of electric service including, but not limited to, meter tampering, unauthorized connection or reconnection, theft, fraud or the intentional or unintentional use of energy whereby the Company is denied full compensation for Electric Distribution Services provided, the Company will bill the Retailer for the Company's estimated wires charges of such unauthorized use, including repairs of damage or reconstruction of Company Facilities. Nothing in this section shall limit any other rights or remedies that the Company may have in connection with such unauthorized use.

### 7.5 **Cessation of Distribution Tariff charges relating to Oil and Gas Service**

Notwithstanding anything to the contrary in these Retailer Terms and Conditions, if ATCO Electric receives a request from the Regulated Rate Option Provider to cease applicable Distribution Tariff charges for a Point of Service, ATCO Electric may, in its sole discretion, cease such charges if:

- (a) The electric service is provided to an oil and gas company located on Rural Lands owned by a farm or residential customer;
- (b) At the time that the service connection was originally provided, the service connection was not requested or approved by, or on behalf of, the then-registered owner of the Rural Lands;
- (c) The Point of Service is for production energy requirements in the petroleum and natural gas industries;
- (d) The Regulated Rate Option Provider has requested that the Point of Service be de-energized as a vacant premise for the purposes of AUC Rule 021; and
- (e) The Regulated Rate Option Provider has advised ATCO Electric in writing that the Regulated Rate Option Provider has conducted a reasonable level of due diligence and determined there is no eligible customer at the Point of Service.

Any cessation of Distribution Tariff charges made under this Section 7.5 shall be effective only from the date that ATCO Electric determines, in its sole discretion, that all of the criteria described in a) through e) above have been satisfied.

ATCO Electric has the right, but not the obligation, acting in its sole discretion, to perform a salvage of Facilities located on Rural Lands at any time after cessation of Distribution Tariff charges as described above.

## **ARTICLE 8 – ELECTRIC DISTRIBUTION SERVICE INTERRUPTION**

### **8.1 Continuous Supply**

The Company shall make all reasonable efforts to maintain a continuous electricity supply to the Retailer's Customers, but the Company cannot guarantee an uninterrupted electricity supply.

### **8.2 Interruption**

Without liability of any kind to the Company, the Company shall have the right to disconnect or otherwise curtail, interrupt or reduce service to the Retailer (and the Retailer's Customers):

- (a) whenever the Company reasonably determines, or when the Company is directed by the ISO, that such a disconnection, curtailment, interruption or reduction is necessary to facilitate construction, installation, maintenance, repair, replacement or inspection of any of the Company's Facilities;
- (b) to maintain the safety and reliability of the Company's distribution system; or,
- (c) due to any other reason related to dangerous or hazardous circumstances including emergencies, forced outages, potential overloading of the Company's distribution system or Force Majeure.

### **8.3 Reasonable Efforts**

The Company shall use reasonable efforts to minimize any scheduled curtailment, interruption or reduction to the extent reasonably practicable under the circumstances, to provide the Customer with prior notification of any such curtailment, interruption or



reduction to the extent reasonably practicable, and to resume Electric Distribution Service as promptly as reasonably practicable.

## **ARTICLE 9 – DISCONTINUANCE OF ELECTRIC DISTRIBUTION SERVICE**

This Article, as amended from time to time, specifies the processes for the transactions between the Company and the Retailer in relation to de-enrollment (“de-select”) of a Site, which includes, without limitation, the circumstances when a Retailer chooses not to arrange for Electric Distribution Service to the Customer or when the Company discontinues Electric Distribution Service to the Retailer as set forth in Section 9.2 herein. This section does not cover the provisions under which a Customer requests its service to be salvaged.

### **9.1 Discontinuance by the Retailer**

- (a) To discontinue Electric Distribution Service, a Retailer shall complete and provide to the Company a notice of de-select in the form and manner set out in the Retailer Guide and in compliance with AUC Rule 021. Such notice shall clearly specify the Retailer's reason(s) for seeking to de-select the Site (Customer).
- (b) In accordance with Article 12 of these Terms and Conditions, the Company will obtain meter reads from time to time. If the Company does not schedule an actual read at the time of the de-select, the Company will estimate a meter read. At the request of the Retailer or with the Retailer's consent, the Company shall obtain an actual off-cycle meter read and assess a charge to the Retailer as set forth in the Schedule of Supplementary Service Charges.
- (c) The Company may reject the notice from the Retailer to de-select any Customer if any information provided in the application, including the Customer Information, provided by the Retailer is false, incomplete or inaccurate in any respect.
- (d) The Retailer is responsible to ensure that its Customers are provided notice of the de-select, and the consequences thereof, and that the Company will not be held liable for any Customer disputes with the Retailer.
- (e) Upon receipt of a valid notice of de-select of Electric Distribution Service from a Retailer in the form and manner set out in AUC Rule 021, the Company will accept

the de-select request of the Retailer and notify the Customer of the pending transaction. If the Site is not enrolled by a replacement Retailer within the period as set out in the Retailer Guide, the Company will notify the Default Retailer or the Retailer for whom the Company has made arrangements to provide the regulated rate tariff to enroll the Site.

- (f) The Retailer shall remain responsible for Electricity Services to the Customer Site until a replacement Retailer is appointed and in place for the Customer Site.
- (g) The Retailer may revoke a notification to de-select a Customer Site as set out in the Retailer Guide. The Company may assess a charge for processing a revoke de-select under this section as set forth in the Schedule of Supplementary Service Charges.

## 9.2 Discontinuance by the Company

The Company may discontinue or restrict Electric Distribution Service to the Retailer if any of the following occur:

- (a) the Retailer's license has been revoked by Alberta Government Services, or
- (b) the Retailer has failed to meet its obligations under these Terms and Conditions or the Retail Service Agreement with the Company, or
- (c) the Retailer has failed to meet its credit requirements pursuant to Article 11.

Notification of discontinuance will be made electronically to the Retailer. The Company will provide the Retailer ten (10) Business Days' notice or such lesser notice period as expressly set out in Articles 7.3 and 11.2(d) before the Company discontinues Electric Distribution Service to the Retailer. Upon discontinuance of Electric Distribution Service pursuant to this Article, the provisions of the affected service(s) will be assumed by the Default Supplier for non-eligible Customers, and the person for whom the Company has made arrangements to provide the regulated rate tariff for eligible Customers.

## ARTICLE 10 – SERVICE DISCONNECTS AND RECONNECT

This Article, as amended from time to time, specifies the processes for the transactions between the Company and the Retailer in relation to the physical disconnect of a Point of Service. For greater certainty, “disconnect” is synonymous with the term “de-energize” as that term is used in AUC Rule 021.

### 10.1 Disconnection of Service

#### 10.1.1 Disconnection by the Company

- (a) The Company reserves the right to disconnect electric service to the Customer in a number of circumstances, including but not limited to non-payment of the Company bills or any past due charges by the Customer; evidence of safety violations, energy theft or fraud by the Customer; or the Customer failing to meet its obligations under the Customer Terms and Conditions for Electric Distribution Service or any of the terms of the Customer's Electric Service Agreement.
- (b) If the disconnect is a result of a safety violation, the Company will reconnect the service when the safety problem is resolved and when the Customer has provided, or paid the Company's costs of providing, such devices or equipment as may be necessary to resolve such safety problem and to prevent such damage, interference or disturbance. The Company may also require proof of electrical permits or approvals prior to the service being reconnected. The Company may also assess a reconnect charge to the Retailer as set forth in the Schedule of Supplementary Service Charges.

#### 10.1.2 Disconnection at Request of Retailer

- (a) In accordance with Section 105(1)(k) of the EUA, the Retailer shall have the right to request that the Company disconnect service to a particular Customer, and the Company shall comply with that request, unless such action is inconsistent with the Company's approved policies contained in Schedule B to these Terms and Conditions.

- (b) If a Retailer requests the Company to disconnect service to a particular Customer for idle service, the Company reserves the right to charge the Retailer the Customer's monthly idle service charges, as determined by Price Schedule Option F, or any other applicable charges.
- (c) The Retailer shall remain responsible for Electricity Services to the Customer until a replacement Retailer has enrolled the Customer at the Site.
- (d) The Company reserves the right to assess charges to the Retailer to disconnect service or attempts to disconnect service to a Customer as set forth in the Schedule of Supplementary Service Charges.
- (e) The Company will notify the Retailer if a disconnect request was not successfully completed and will include the reason. The Retailer may then re-issue a disconnect request acknowledging the associated risks. The Company reserves the right to make the final determination on whether a disconnection will be made in consideration of these risks.
- (f) The Company reserves the right to request the Retailer to provide the Customer's contact name and phone number for the purpose of verifying the disconnect request. In the event that, in the opinion of the Company, the facility, its associated equipment or occupants may be adversely affected by the disconnection the Company will not proceed with the disconnection.

### 10.1.3 Disconnection at Request of Customer

In accordance with AUC Rule 021, any requests to disconnect service from a Customer shall be made by the Customer's Retailer. If the Customer notifies the Company that the disconnect is short-term and required for reasons including but not limited to equipment testing and inspection, the Company reserves the right to complete the request for disconnect and subsequent reconnect. If the Company determines the disconnect request falls under the provisions of idle service, the Company will administer the request as per the disconnect and idle service

provisions set out in the Customer Terms and Conditions for Electric Distribution Service.

#### 10.1.4 Permanent Disconnection

- (a) If the Retailer requests the Service Connection to be permanently disconnected, the Customer billing for that service will be finalized and the Customer may be required to pay for any unrecovered investment made by the Company as set forth in the Customer Terms and Conditions for Electric Distribution Service. At the discretion of the Company, the Facilities provided by the Company will be removed unless the Retailer agrees to pay the idle service charges as set forth in Sub-section 10.1.2 or 10.1.3.
- (b) If within three (3) years of permanent disconnection the Customer requests the Service Connection be restored, the Customer must pay all the costs associated with the original disconnection, removal of the Facilities and restoration of service if they have not already done so.

### 10.2 Reconnect Service

Before reconnecting or restoring service to a particular Customer:

- (a) the Retailer must provide the Company with sufficient notice to reconnect service;
- (b) the Company reserves the right to assess a charge to the Retailer of the minimum monthly charge for each month of disconnection, if the service was previously on idle service as determined by Price Schedule Option F, and is reconnected within 12 months of disconnection, in accordance with the idle service provisions outlined in the Customer Terms and Conditions for Electric Distribution Service;
- (c) if the reason for the reconnect request is to resume Electric Distribution Service after a Site was disconnected for Customer indebtedness to the Customer's Retailer, and the Customer on the reconnect request matches the Customer on the original Cut-off for Non-Payment (CONP) disconnect request then the Company will not reconnect until such time as a disconnect release is received by

the Company from the Retailer that issued the disconnect request. Such release shall be sent to the Company within 24 hours of the Retailer receiving payment;

- (d) the Company reserves the right to assess a reconnection charge as set forth in the Schedule of Supplementary Service Charges.

## ARTICLE 11 – PRUDENTIAL REQUIREMENTS

In circumstances where the Retailer has multiple Retailer Identification numbers, the review, setting, and maintaining of prudential requirements shall be based on the Retailer Business Number level.

### 11.1 Setting of Prudential Requirements

- (a) The Retailer, with the exception of the Retailer for whom the Company has made arrangements to provide the regulated rate tariff, must fulfill the requirements as set forth in this Article to the satisfaction of the Company before the Company will provide Electric Distribution Service to that Retailer.
- (b) Subject to review and reassessment of the Prudential Requirements of a Retailer by the Company from time to time, a Retailer shall meet and maintain such financial and other Prudential Requirements as set out in the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time, to ensure that the Retailer is and remains of sufficient financial standing to meet its ongoing financial obligations.
- (c) The Company will confirm the Credit Rating of the Retailer or person which guarantees the financial obligation of the Retailer.

The minimum Credit Rating that will qualify a Retailer for a reduction in security or allowing a person to provide an irrevocable guarantee of the Retailer's financial obligation will be in accordance with the requirements set out in the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time.

If a Retailer has obtained more than one Credit Rating, the lowest Credit Rating will be used in the assessment.

- (d) Subject to review and reassessment, the Company shall determine the amount of the security reduction available for each Retailer, and the maximum amount of any guarantee required from the person guaranteeing the financial obligations of the Retailer, subject to Sections 8 and 9 of the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time. The Company shall notify the Retailer of its security requirement within 20 (twenty) Business Days from the receipt of the Retailer's complete application for service.
- (e) Subject to Section 9 of the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time, the Retailer shall provide security in the manner set out in the Retailer Guide, in the form of a cash deposit, an irrevocable letter of credit from a Qualified Institution or an irrevocable guarantee. Notwithstanding the definitions under these Terms and Conditions, the relationship between the Retailer and the Company is a relationship of creditor and debtor, respectively. Where the Retailer provides security in the form of a cash deposit, all right, title, and interest is transferred absolutely; will vest in the Company free and clear of any liens, claims, charges and encumbrances; and no security interest will be created in the cash. An irrevocable guarantee may be provided from a Canadian person, or person acceptable to the Company, other than the Retailer, with a qualifying credit rating.

## 11.2 Maintaining Prudential Requirements

- (a) If a Retailer's actual outstanding charges under the Company's Distribution Tariff are materially greater than the value projected by the Retailer under Section 11.1 of these Terms and Conditions, the Company will update the projection and, if additional security is required based on the updated projection, require the Retailer to provide additional security within five (5) Business Days of the Company's request.
- (b) The Company requires Retailers to report any downgrading of the Retailer's Credit Rating to the Company within two (2) Business Days of said Credit Rating revisions, and must provide any additional security required as a result of the downgrading within five (5) Business Days of the downgrading.

- (c) If a Retailer fails to pay any amount billed, subject to Section 7.3 of these Terms and Conditions, the Retailer hereby grants to the Company a right to “Set-off” any amounts payable by the Retailer with respect to any obligations of the Retailer to the Company, or when the Retailer has provided security in the form of an irrevocable letter of credit or irrevocable guarantee. The meaning of Set-off includes offset, combination of accounts, the right of retention or withholding, or similar right or requirement (whether arising under an agreement, applicable law or otherwise), and, when used as a verb, the exercise of any such right or the imposition of any such requirement. The Company will apply all or any portion of that Retailer’s security to the unpaid amount. The Retailer will then be required to replenish the security as outlined above.
- (d) If the Retailer fails to maintain its prudential requirements in accordance with these provisions outlined herein, the Company reserves the right to suspend the provision of additional Electric Distribution Service to the Retailer, or discontinue Electric Distribution Service entirely to the Retailer. The Company will provide the Retailer notice of discontinuance three (3) Business Days before the Company discontinues Electric Distribution Service to the Retailer.

Upon discontinuance of Electric Distribution Service pursuant to this Article, the provisions of the affected service(s) will be assumed by the Default Supplier for non-eligible Customers, and the person for whom the Company has made arrangements to provide the regulated rate tariff for eligible Customers.

- (e) A Retailer that is required to provide security in accordance with the *Distribution Tariff Regulation*, A.R. 162/2003, as amended from time to time, and these Terms and Conditions must maintain that amount of security until all obligations of the Retailer under the Company's Distribution Tariff are satisfied. A Retailer who provides security other than by means of a cash deposit held by the Company, must either ensure that its security has no expiry date and cannot be terminated, or must at all times ensure that its security is automatically extended from year to year, for successive periods of a minimum of one year each from any expiration date thereof, unless the Company is notified in writing by prepaid registered mail not less than 30 days prior to any expiration date that the security will not be



renewed for any such additional period ("Notice of Non-Renewal"). A Retailer who provides security other than by means of a cash deposit held by the Company, must ensure that its security is executable from an intermediary bank branch or office with a drawing location in Calgary, Alberta.

- (f) Upon receipt of a Notice of Non-Renewal, the Company shall provide notice of same in writing to the Retailer advising that the Retailer's failure to provide the Company with alternate security meeting the requirements set out in the *Distribution Tariff Regulation*, A.R. 162/2003, within 3 business days after the date of the notice shall be a breach of the Retailer's obligation to maintain its security in accordance with s.11 of the *Distribution Tariff Regulation*, A.R. 162/2003, and an event of default under Article 14.1(d) of these Terms and Conditions. If after 3 business days the Company is not in receipt of such alternate security, the full amount of the Retailer's security determined in accordance with sections 8 and 9 of the *Distribution Tariff Regulation* shall become due and payable to the Company and the Company shall be entitled to make demand or claim against the Retailer's security in accordance with Article 14.3.
- (g) In the event of a default by a Retailer, the Company is entitled to recover any costs not covered by the security posted by the Retailer through the Company's Distribution Tariff, in accordance with the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time.

### 11.3 Confidentiality

All information provided by the Retailer in relation to its financial standing and designated by the Retailer as confidential will be treated as such under the Confidentiality Agreement between the Retailer and the Company. The terms and conditions of the Confidentiality Agreement are set out in the Retailer Guide.

### 11.4 Costs

All costs associated with obtaining financial security and meeting prudential requirements under this section are the responsibility of the Retailer.

## 11.5 Interest on Security Deposits

Interest on each Retailer's cash security deposit held by the Company will be calculated at the rate specified from time to time in *The Residential Tenancies Act S.A. 2004, C.R-17.1* or as otherwise stipulated in any contractual arrangements between the Retailer and the Company. Interest will be paid to the Retailer annually.

## 11.6 Letter of Credit Default

Letter of Credit Default shall mean, with respect to an outstanding letter of credit, the occurrence of any of the following events:

- (1) The issuer of the letter of credit ceases to be a Qualified Institution;
- (2) The issuer of the Letter of Credit fails to comply with or perform its obligations under such letter of credit and such failure continues after the lapse of any applicable grace period;
- (3) The issuer of such letter of credit disaffirms, disclaims, repudiates or rejects, in whole or in part, or challenges the validity of such letter of credit;
- (4) The letter of credit expires, terminates, or ceases to be in full force and effect at any time; or
- (5) Any party related to the issuance of such letter of credit or credit support provider is dissolved, becomes insolvent or is unable to pay its debts, or fails or admits in writing its inability generally to pay its debts as they become due, makes a general assignment, arrangement or composition with or for the benefit of its creditors, files a petition for itself or a petition is filed by a 3rd party under the *Bankruptcy and Insolvency Act* of Canada, the *Companies' Creditors Arrangement Act*, or similar acts of other forbearing jurisdictions

## ARTICLE 12 – METERING

### 12.1 Provision and Ownership

The meters used by the Company to assess the level of Electric Distribution Service charges to the Retailer will be the same meters used to provide Customer billing

information to the Retailer. The Company will provide, install and seal all meters for each Point of Service of a Customer of the Retailer in accordance with the Customer Terms and Conditions for Electric Distribution Service. Interval meters shall be installed for a Customer who has a connected load exceeding 500 kW or as required by the *Micro-Generation Regulation, A.R. 27/2008*, as amended from time to time. A Customer requesting an interval meter outside of these conditions will be assessed the charges indicated in of the Schedule of Supplementary Service Charges. Each meter shall remain the property of the Company.

## 12.2 Meter Reading

- (a) Billing will be based on meter readings made by the Company from time to time or on estimates for those billing periods when the meter is not read. The Company reserves the right to assess a charge to the Retailer, as set forth in the Schedule of Supplementary Service Charges, for additional reads above the Company's standard practices.
- (b) For small general service Customers whose load requirements are small, consistent, and can be accurately predicted, the billing demand and energy may be determined, at the sole discretion of the Company, by methods such as but not limited to, the nameplate rating of the Customer's equipment rather than being metered.

## 12.3 Changes to Metering Equipment

- (a) Should a Retailer request or consent to a Customer request for new metering equipment beyond the basic service, the Company shall provide, install, test and maintain the required metering equipment. The metering equipment must be requested or consented to in writing by the Retailer and meet the Company's requirements. The Retailer shall bear the cost of providing and installing the metering equipment, and ongoing operating costs as set forth in the Schedule of Supplementary Service Charges. For changes to metering equipment on primary distribution voltage levels, the cost of providing, installing, and the ongoing operating costs will be determined on a case by case basis.

The metering equipment shall become the property of the Company and will be maintained by the Company. The Company shall complete installation of the metering equipment within thirty (30) days of delivery from the supplier. The Company shall bill the Retailer upon installation, and the Retailer shall pay the Company in full within eleven (11) Business Days of receipt thereof. If payment is not received within eleven (11) Business Days, the Company shall charge a Late Payment Charge as set forth in the Schedule of Supplementary Service Charges.

- (b) Should a Retailer request or consent to a Customer request to return the metering equipment to its previous basic form, the Retailer shall bear the cost of removal and installation of the metering equipment.
- (c) At the request by the Retailer, or with the Retailer's consent, the Company may provide other metering services, above standard metering service, in its discretion, acting reasonably, and may charge separate fees for such service.

#### **12.4 Meter Test and Adjustments**

- (a) The Company may inspect and test a meter at any reasonable time. At the request of a Retailer, the Company shall arrange for on-site meter verification and if necessary, shall arrange for a meter to be tested by an official designated for that purpose by Measurement Canada or accredited agency as may, from time to time, be designated for this purpose.
- (b) If a test determines that the meter is not accurate within the limits set by government standards, the meter data will be adjusted back to the time that the error can reasonably be determined to have commenced.

Where it is impossible to determine when the error commenced, it shall be deemed to have commenced three (3) months before the test or the date of the meter installation, whichever occurred later, in accordance with the *Electricity and Gas Inspection Act, R.S.C., 1985, C.E-4*. The Company shall not be liable to the Customer or Retailer for any additional costs that are associated with such metering or meter reading errors.

- (c) The Company reserves the right to assess a charge to the Retailer for a meter test, in circumstances where the Company has not been responsible for any metering error, as set forth in the Schedule of Supplementary Service Charges. This charge does not apply to circumstances when the tested meter is not accurate within parameters set by Measurement Canada.

## ARTICLE 13 – LOAD SETTLEMENT

### 13.1 Request for Additional Information

A Retailer may request additional settlement information above the basic service provisions specified in AUC Rule 021 or information previously provided by the Company providing:

- (a) the Retailer provides a written request to the Company outlining the purpose for the additional settlement information; and
- (b) the additional settlement information applies only to the Customers of the Retailer.

Upon satisfaction of the above requirements, the Company will advise the Retailer in a written proposal of the type of work, time of delivery and charges necessary to provide the additional settlement information to the Retailer.

### 13.2 Liability

The Company shall not be liable to any person for any damages, cost, expense, injury, loss or other liability of any kind whatsoever, or however caused, resulting directly or indirectly from its good faith performance of its responsibilities under the provision of this article. No express or implied warranties of any kind shall apply to information or services provided by the Company to any person as part of such good faith performance, including without limitation implied warranties of fitness for a particular purpose.

## ARTICLE 14 – DEFAULT

### 14.1 Events of Default

An event of default under these Terms and Conditions and the Retail Service Agreement will occur if either the Company or the Retailer (“Defaulting Party”):

- (a) is the subject of a bankruptcy, insolvency or similar proceeding;
- (b) makes an assignment for the benefit of its creditors;
- (c) applies for, seeks, consents to, or acquiesces in the appointment of a receiver, custodian, trustee, liquidator or similar official to manage all or a substantial portion of its assets;
- (d) is de-certified by the ISO; or
- (e) fails to pay the other party (“Non-Defaulting Party”) when payment is due, or to satisfy any other material obligation under these Terms and Conditions or the Retail Service Agreement including, without limiting the generality of the foregoing, fulfilling the prudential requirements as set forth in Article 11, in accordance with these Terms and Conditions, and fails to remedy the failure or satisfy the obligation, as the case may be, within three (3) Business Days after receipt of written notice thereof from the Non-Defaulting Party.

#### **14.2 Rights Upon Default**

In an event of default, the Non-Defaulting Party shall, subject to these Terms and Conditions and any applicable regulatory requirements, be entitled to pursue any and all available legal and equitable remedies and terminate the Retail Service Agreement without any liability or responsibility whatsoever, except for obligations arising prior to the date of termination. The non-defaulting party shall provide written notice to the defaulting party of its intention to terminate Electric Distribution Service hereunder.

#### **14.3 Recourse to Security Upon Retailer Default**

In addition to any other rights and remedies set out herein, in an event of default by the Retailer, other than a default in payment addressed under section 12 of the Distribution Tariff Regulation, the full amount of the Retailer's security determined in accordance with sections 8 and 9 of the Distribution Tariff Regulation shall become due and payable to the Company and the Company shall be entitled to make demand or claim against the Retailer's security for the full amount secured thereunder. All funds received by the Company in respect of such claim shall be retained by the Company and applied against

the Retailer's obligations hereunder until such time as all of the Retailer's obligations have been determined and satisfied. Any balance remaining after satisfaction of the Retailer's obligations shall be returned to the issuing party of the security for the benefit of the Retailer.

## **ARTICLE 15 – LIABILITY AND INDEMNITY**

### **15.1 Indemnity**

- (a) Each party (as applicable, the "Indemnitor") will indemnify and hold harmless the other party and its directors, officers, employees, agents and representatives ("Indemnitee(s)") from and against any direct damages, injuries, losses and other liabilities claimed against the Indemnitee or any of them, and all related costs and expenses (including reasonable legal fees) suffered or incurred by any of them in relation to any claims, cause of action, action, suit or proceeding by a third party ("Claim") which arises from damage to property or injury to or death of persons resulting from the Indemnitor's failure to perform its obligations under these Terms and Conditions which failure is caused by the negligence or willful act of the Indemnitor or any of its directors, officers, employees, agents or representatives acting within the scope of their authority or employment. The indemnity under this Section 15.1(a) will be limited to an amount in proportion to the degree to which the Indemnitor or its directors, officers, employees, agents or representatives acting within the scope of their authority or employment are at fault. For the purpose of this Section 15.1(a) "willful act" means any act or omission which is an intentional tort or an intentional breach of any obligations under these Terms and Conditions.
- (b) In the event that an Indemnitee is entitled to and desires to assert its right to indemnification from an Indemnitor under this Section 15.1 such Indemnitee will give the Indemnitor prompt notice of the Claim, which shall describe the Claim in reasonable detail and shall indicate the estimated amount, if practicable, of the indemnifiable loss that has been or may be sustained by the Indemnitee. The failure to promptly notify the Indemnitor hereunder shall not relieve the Indemnitor

of its obligations hereunder, except to the extent that the Indemnitor is actually and materially prejudiced by the failure to so notify promptly.

(c) Subject to Section 15.1(d) hereof, if the Indemnitor delivers to the Indemnitee a written acknowledgement of its unconditional and irrevocable obligation to indemnify the Indemnitee under Section 15.1(a) in respect of:

(1) all of the damages, injuries, losses, liabilities, costs and expenses that may be claimed against, or suffered or incurred by, the Indemnitee in respect of the Claim within ten (10) days following the Indemnitor's receipt of the Indemnitee's notice of such Claim and if the existence of such obligation to indemnify is made known by the Indemnitor to the third party claimant (and, if applicable, to the court or other tribunal determining the Claim), the Indemnitee shall make available to the Indemnitor all information in its possession or to which it has access, other than information that has been designated as confidential by the provider of such information, which is or may be relevant to the particular Claim and the Indemnitor shall be entitled, at its option, to take carriage of the defense of the Claim by its own counsel and, if it elects to do so, the Indemnitee shall cooperate with the Indemnitor to the fullest reasonable extent in the defense, settlement or compromise of the Claim; or

(2) some, but less than all, of the damages, injuries, losses, liabilities, costs and expenses that may be claimed against, or suffered or incurred by, the Indemnitee in respect of the Claim within ten (10) days following the Indemnitor's receipt of the Indemnitee's notice of such Claim and if the Indemnitee is of the opinion that the Indemnitor's interests are not in conflict with its own, the Indemnitee shall make available to the Indemnitor all information in its possession or to which it has access, other than information that has been designated as confidential by the provider of such information, which is or may be relevant to that portion of the Claim in respect of which the Indemnitor has an obligation to indemnify the Indemnitee and consult with the Indemnitor in respect thereof.



The Indemnitee shall not make any admission of the liability regarding, or settle or compromise, that portion of the Claim in respect of which the Indemnitor has acknowledged its obligation to indemnify the Indemnitee without the written consent of the Indemnitor, which consent shall not be unreasonably withheld.

- (d) The provisions of Section 15.1(a) hereof shall not apply in respect of any Claim to which the Indemnitor is, or may reasonably be expected to be, a party and where the Indemnitee is asserting legal defenses in relation to the Claim that conflict with legal defenses being asserted by the Indemnitor.
- (e) Except to the extent to which either party is required to indemnify the other party (and those other persons specified in this Article 15) by the express terms of Article 15, neither party, nor its directors, officers, agents, employees, and representatives, will be liable to the other party for any damages, costs, expenses, injuries, losses, or liabilities suffered or incurred by the other party, its directors, officers, employees, agents and representatives howsoever and whenever caused, and each party, for itself and as agent for its directors, officers, agents, employees and representatives hereby forever release the other party, its directors, officers, agents, employees and representatives from any liability or obligation in respect thereof. For greater certainty, neither party shall be limited in a claim against the other for specific performance or other equitable relief in relation thereto, or direct damages only and related costs and expenses (including reasonable legal fees), arising from a breach of these Terms and Conditions.

## 15.2 Consequential Loss

Notwithstanding anything to the contrary contained in these Terms and Conditions, neither party will be liable to the other party, and Company shall not be liable to the Customer with respect to matters for which Retailer is acting as agent for the Customer, for any damage, cost, expense, injury, loss or other liability of an indirect, special or consequential nature suffered by the other party or claimed by any third party against the other party which arises due to such party's failure to perform its obligations under these Terms and Conditions or for any other reason (including negligence on its part or on the part of any

person for whose acts it is responsible), howsoever and whensoever caused, and whether arising in contract, negligence or other tort liability, strict liability or otherwise; and without limiting the generality of the foregoing, damage, injury or loss of an indirect or consequential nature shall include loss of revenue, loss of profits, loss of production, loss of earnings, loss of contract, cost of purchased or replacement capacity and energy, cost of capital and loss of the use of any facilities or property owned, operated, leased or used by the other party.

### **15.3 Release**

Subject to Sections 15.1 and 15.2, none of the Company, its directors, officers, agents, employees and representatives, (“Company Parties”), will be liable to the Customer, its directors, officers, agents, employees and representatives (“Customer Parties”) for any damages, costs, expenses, injuries, losses, or liabilities suffered or incurred by the Customer Parties or any of them, howsoever and whensoever caused, and each Customer Party hereby forever releases each of the Company Parties from any liability or obligation in respect thereof.

### **15.4 The Company Not Liable to Customer**

For greater certainty, the Company shall not be liable to a Customer Party for any damages of any kind:

- a) caused by or arising from any of the Company Party’s conduct in compliance with or in breach of, or as permitted by, these Terms and Conditions, the Customer Terms and Conditions for Electric Distribution Service, Electric Service Agreement or other agreement with the Customer, or any contractual, legal or regulatory requirements related to service provided to Retailers;
- b) caused to the Customer and arising from any failure of a Retailer to comply with the Retailer Terms and Conditions for Electric Distribution Service, any agreement with the Company relating to Electric Distribution Services or for any damages caused by or arising from equipment installed or actions taken by a Retailer;

- c) caused by or arising from a Retailer's failure to perform any commitment to the Customer, including but not limited to the Retailer's obligation, including its obligation under Part 8 of the Act, to provide Retail Electricity Services including Electric Distribution Service to the Customer; or
- d) caused by or resulting from any acts, omissions or representations made by a Retailer in connection with soliciting Customers for Electric Distribution Service or performing any of the Retailer's functions in providing Retail Electricity Services to Customers.

## **ARTICLE 16 – FORCE MAJEURE**

### **16.1 Force Majeure Relief**

The Company or Retailer, as the case may be, is relieved of its obligations hereunder, and shall not be liable for any failure to perform any term of these Terms and Conditions to the extent that and when such failure is due to, or is a consequence of, any event of Force Majeure.

### **16.2 Exclusions**

Notwithstanding the definition of Force Majeure, lack of funds shall not be an event of force majeure.

### **16.3 Notice**

The party claiming relief from liability under the provisions of this Article 16 shall promptly give the other party notice of the force majeure including full particulars thereof and shall promptly give the other party notice when the force majeure event ceases to prevent performance pursuant to these Terms and Conditions.

### **16.4 Obligation to Remedy**

The party claiming relief from liability under the provisions of this Article 16 shall promptly remedy the cause and effect of the force majeure insofar as it is reasonably able to do so.

## 16.5 Strikes and Lockouts

Notwithstanding any other provision of these Terms and Conditions the settlement of any strike, lockout or other industrial disturbance shall be wholly in the discretion of the party claiming relief from liability and such party may settle such strike, lockout or industrial disturbance at such time and on such terms and conditions as it may deem appropriate and no failure or delay in settling such strike, lockout or industrial disturbance shall constitute a cause or event within the control of such party or deprive such party of the benefits of this Article 16.

## ARTICLE 17 – DISPUTE RESOLUTION

### 17.1 Resolution by Company and Retailer

If any dispute between the Company and a Retailer arises at any time in connection with these Terms and Conditions, the Company and the Retailer acting reasonably and in good faith, shall use all reasonable efforts to resolve the dispute as soon as possible in an amicable manner. If the dispute cannot be otherwise resolved pursuant to this Article 17, the chief executive officers of the Company and the Retailer shall meet to attempt to resolve the dispute.

### 17.2 Resolution by Arbitration

If any dispute has not been resolved pursuant to Section 17.1 hereof within thirty (30) days after notice from the Company or the Retailer to the other of its desire to have the dispute resolved, then the dispute shall be resolved pursuant to Sections 17.3 to 17.11 hereof. The Company and the Retailer shall abide by the terms of any award rendered by the arbitrator(s) appointed hereunder without delay.

### 17.3 Arbitrators

All disputes or differences between the Company and a Retailer in connection with these Terms and Conditions shall be referred (unless the Company and the Retailer concur in the appointment of a single arbitrator) to a board of arbitrators consisting of one (1) arbitrator to be appointed by each of the Company and the Retailer who shall, by instrument in writing, appoint a third arbitrator immediately after they are themselves appointed. Notwithstanding the foregoing, any disputed matters between the Company

and a Retailer relating to an order or direction made or approved by the Commission or falling within the exclusive jurisdiction of the Commission, shall be referred to the Commission for resolution.

#### **17.4 Failure to Concur**

The Company and a Retailer shall be deemed to have failed to concur in the appointment of a single arbitrator if such an arbitrator shall not have been appointed within fifteen (15) days after the serving by either the Company or the Retailer on the other of notice requesting it to concur in the appointment of such an arbitrator.

#### **17.5 Refusal to Appoint an Arbitrator**

If either the Company or the Retailer shall neglect or refuse to appoint an arbitrator within fifteen (15) days after the other party (provided such other party has appointed its arbitrator) has served the Company or the Retailer, as the case may be, with notice to make the appointment, the party who has appointed its arbitrator shall be entitled to apply, upon notice to the other party, to a Justice of the Court of Queen's Bench of Alberta to appoint an arbitrator for the party in default.

#### **17.6 Failure to Appoint a Third Arbitrator**

If the arbitrators appointed by the Company and the Retailer have not, within fifteen (15) days after their appointment or the appointment of the arbitrator last appointed, as the case may be, appointed a third arbitrator, either the Company or the Retailer shall be entitled to apply upon notice to the other party to a Justice of the Court of Queen's Bench of Alberta to appoint such an arbitrator.

#### **17.7 Technical Competence**

Any arbitrator appointed under the provisions of this clause whether by concurrence of the Company and the Retailer, by either party, by the arbitrators, or by a Justice of the Court of Queen's Bench of Alberta shall, in the opinion of the persons making such appointment, be possessed of such technical or other qualifications as may be reasonably necessary to enable him to properly adjudicate upon the dispute or difference.

### 17.8 Compensation of Arbitrators

Each party shall be responsible for the costs of the arbitrator appointed by it hereunder. The costs of the third arbitrator shall be divided evenly between the parties.

### 17.9 Application of the Arbitration Act (Alberta)

Except as herein modified, the provisions of the *Arbitration Act*, R.S.A. 2000, c. A-43, as amended from time to time, shall apply to any arbitration proceeding.

### 17.10 Decisions Binding

A decision of the single arbitrator or the majority of the three arbitrators named or appointed shall be final and binding upon each of the parties to the dispute or difference.

### 17.11 Continuity of Service

All performance required under these Terms and Conditions by the Company and the Retailer and payment therefore shall continue during the dispute resolution proceedings contemplated by this Article 17, provided that in the case of any such proceedings pertaining to amounts payable under these Terms and Conditions, any payments or reimbursements required as a result of the proceedings shall be effective as of a date to be determined in such proceedings and interest shall be paid thereon by the party required to make the payment or reimbursement on the amount thereof at the rate specified from time to time in *The Residential Tenancies Act S.A. 2004, C.R-17.1* or as otherwise stipulated in any contractual arrangements between the Retailer and the Company.

## ARTICLE 18 – MISCELLANEOUS

### 18.1 Independent System Operator or Transmission Facility Owner Requirements

Retailers and Customers acknowledge and agree that the Company is bound by all operating instructions, policies and procedures of the Independent System Operator and Transmission Facility Owners which are needed to maintain the integrity of Alberta's interconnected electric system. Each Retailer and Customer acknowledges and agrees that they will cooperate with the Company so that the Company will be in compliance with all such operating instructions, policies and procedures which include, but are not limited to, those operating instructions, policies and procedures pertaining

to minimum and maximum generation emergencies, and supply voltage reduction or full interruption of Customer load by either manual or automatic means.

## **18.2 Compliance with Applicable Legal Authorities**

The Company and the Retailer are subject to, and shall comply with, all existing or future applicable federal, provincial and local laws, all existing or future orders or other actions of the ISO or of governmental authorities having applicable jurisdiction. The Company will not violate, directly or indirectly, or become a party to a violation of any requirement of the ISO or any applicable federal, provincial or local statute, regulation, bylaw, rule or order in order to provide Electric Distribution Service to the Retailer (or a Customer of the Retailer). The Company's obligation to provide Electric Distribution Service is subject to the condition that all requisite governmental and regulatory approvals for the provision of such Electric Distribution Service will have been obtained and will be maintained in force during such period of Electric Distribution Service.

## **18.3 No Assignment**

Neither the Company nor the Retailer shall assign any of its rights or obligations under these Terms and Conditions or the Retail Service Agreement without obtaining (a) any necessary regulatory approval(s); and (b) the prior written consent of the non-assigning party, which consent shall not be unreasonably withheld. No assignment shall relieve the assigning party of any of its obligations under these Terms and Conditions or the Retail Service Agreement until such obligations have been assumed by the assignee. Any assignment in violation of this Section shall be void. However, the Company may assign any or all of its rights and obligations under these Terms and Conditions and the Retail Service Agreement, without the Retailer's consent, to any entity succeeding to all or substantially all of the assets of the Company, if the assignee agrees, in writing, to be bound by all of the terms and conditions hereof and if any necessary regulatory approvals are obtained.

## **18.4 No Waiver**

The failure of either party to insist on any one or more instances upon strict performance of any provisions of these Terms and Conditions or a Retail Service Agreement, or to take advantage of any of its rights hereunder, shall not be construed as a waiver of any such

provisions or the relinquishment of any such right or any other right hereunder, which shall remain in full force and effect. No term or condition of these Terms and Conditions or a Retail Service Agreement shall be deemed to have been waived and no breach excused unless such waiver or consent to excuse is in writing and signed by the party claimed to have waived or consented to excuse.

### **18.5 Law**

These Terms and Conditions and the Retail Service Agreement between the Company and the Retailer shall be governed by the laws of the Province of Alberta and the federal laws of Canada applicable in the Province of Alberta, without regard to principles of conflicts of law. Any lawsuit arising in connection with these Terms and Conditions and the Retail Service Agreement shall be brought in the courts of the Province of Alberta.

### **18.6 Invalidity of Contractual Provisions**

If any provision of the Terms and Conditions or any other agreement with the Company is to any extent held invalid or unenforceable, the remainder of the Terms and Conditions or the agreement, as the case may be, and the application thereof, other than those provisions which have been held invalid or unenforceable, shall not be affected and shall continue in full force and effect and shall be enforceable to the fullest extent permitted by law or in equity.

## **ARTICLE 19 – NOTICE**

Unless otherwise stated herein, all notices, demands or requests required or permitted under these Terms and Conditions or a Retail Service Agreement shall be in writing and shall be personally delivered or sent by courier-service or electronic mail addressed as follows:

- (a) If to the Retailer, to the address and the addressee set out in the Retail Service Agreement between the Retailer and the Company.





Page: 48  
Effective: 2024-01-01  
Supersedes: 2023-01-01

---

(b) If to the Company to: ATCO Electric Ltd.

10035 - 105 Street, Edmonton, Alberta, T5J 2V6

**Attention:** Manager, Customer Care and Billing

Email: RetailerServices@atcoelectric.com

Notice received after the close of the Business Day shall be deemed received on the next Business Day.

**SCHEDULE A – RETAIL SERVICE AGREEMENT**MEMORANDUM OF AGREEMENT made the (day) of (month), (year)BETWEEN: **(RETAILER NAME)**

(address)

Retailer Business Number: \_\_\_\_\_

(hereinafter called the "Retailer")

- and -

**ATCO ELECTRIC LTD.**, a body corporate with its Head Office in the City of Edmonton in the Province of Alberta ("ATCO Electric" or "Company")

WHEREAS the Retailer has requested the Company to provide the Retailer with Electric Distribution Service for the purpose of serving its electricity customer(s) ("the Customer"):

The Retailer and the Company agree as follows:

1. The Retailer is solely responsible for the provision of accurate and timely Customer Information to the Company. The Retailer agrees to provide the following information by electronic form to the Company, and represents and warrants that such information is true and accurate:
  - (a) Retailer Identification No(s): Refer to Appendix A
  - (b) Customer Information, in a form acceptable to the Company, for each Customer of the Retailer:

Should any of the above Customer Information change during the term of this Retail Service Agreement, the Retailer shall advise the Company of the change, by electronic means, as soon as is reasonably practicable in the circumstance, and in any event within five (5) Business Days of the Retailer becoming aware of the change.

2. This Retail Service Agreement is subject to the ATCO Electric Ltd. – Retailer Terms and Conditions for Electric Distribution Service ("Terms and Conditions"), as amended from time to time, which are approved by the Alberta Utilities Commission ("AUC").
3. The Retailer acknowledges that it has been offered a copy of ATCO Electric's Terms and Conditions, has reviewed and understands these Terms and Conditions and agrees to be bound by them, and any amendments thereto, in all transactions with ATCO Electric or its Customers.
4. No person, whether an employee or agent of ATCO Electric or otherwise, can agree to change, alter, vary or waive any provision of the Terms and Conditions without the express approval of the AUC.
5. The Retailer acknowledges that it has been offered a copy of ATCO Electric's Retailer Guide and is aware of the policies and business practices of the Company detailed therein.

6. This Retail Service Agreement shall be effective on the date first noted herein, and thereafter shall remain in effect until terminated by either party in accordance with Article 9 or Article 10, as applicable, of the Terms and Conditions; or for the reasons set out in Article 14 of the Terms and Conditions.
7. The Retailer understands and agrees that the Electric Distribution Service provided hereunder is provided solely for the Retailer's use at the locations and for the Customers identified to the Company in accordance with paragraph 1 hereof. The Retailer shall not use the Electric Distribution Service provided by the Company for any other purpose.
8. If the Retailer, at any time, becomes aware that any Customer is using the service(s) provided by the Retailer or the Company in a manner which is inconsistent with the Terms and Conditions, which could potentially create safety, health or environment concerns or damage the Company's Distribution System or facilities, the Retailer shall immediately notify Company of such circumstances.
9. In providing service to its Customer, the Retailer shall not, in any way, damage or interfere with or otherwise disturb, alter or tamper with the facilities of the Company. The Retailer shall notify the Company immediately of any problem or defect relating to Company's facilities, which is discovered by or brought to the attention of the Retailer.
10. The Retailer agrees to pay all rates, charges, invoices or fees levied or billed to it by the Company in accordance with Article 7 of the Terms and Conditions.
11. The Retailer acknowledges, understands and agrees that the Company will not perform any billing or collection activities on its behalf. The Retailer agrees to pay all amounts due and owing to the Company in accordance with Article 7 of the Terms and Conditions, regardless of any billing or collection disputes the Retailer may have with its Customer(s).
12. (a) The Retailer agrees to comply with the Company's Prudential Requirements established pursuant to Article 11 of the Terms and Conditions and Section 8 and 9 of the Distribution Tariff Regulation, A.R. 162/2003, for purposes of enabling the Company to assess the Retailer's credit risk and required security.
  - (b) The Company shall be entitled to access the financial security provided by the Retailer in any event of default including late payment or default on any invoices or bills of the Company, in accordance with Articles 7, 11, and 14 of the Terms and Conditions.
13. This Retail Service Agreement is subject to all applicable legislation, including the Electric Utilities Act and the Regulations made thereunder, and all applicable orders, rulings, regulations and decisions of the AUC or any other regulatory authority having jurisdiction over the Company or the matters addressed herein.
14. This Retail Service Agreement shall enure to the benefit of and be binding and enforceable by the parties hereto and their respective executors, administrators, successors and, where permitted, assigns.
15. If any provision of this Retail Service Agreement, or the application thereof, is to any extent held invalid or unenforceable, the remainder of this Retail Service Agreement and the application thereof, other



Page: 51  
Effective: 2024-01-01  
Supersedes: 2023-01-01

than those provisions which have been held invalid or unenforceable, shall not be affected and shall continue in full force and effect and shall be enforceable to the fullest extent permitted by law or in equity.

16. Neither Party may disclose any Confidential Information obtained pursuant to this Retailer Service Agreement to any third Party, without the express prior written consent of the other Party. As used herein, the term "Confidential Information" shall include all business, financial, and commercial information pertaining to the Parties, Customers of either Party, suppliers for either Party, personnel of either Party, any trade secrets and other information of a similar nature, whether written or otherwise that is marked "proprietary" or "confidential" with the appropriate owner's name.

Notwithstanding the preceding, a receiving Party may disclose Confidential Information to any governmental, judicial or regulatory authority requiring such Confidential Information pursuant to any applicable law, regulation, ruling or order, providing that:

- (a) such Confidential Information is submitted under any applicable provision, if any, for confidential treatment by such governmental, judicial or regulatory authority; and
- (b) prior to such disclosure, the other Party is given prompt notice of the disclosure requirement so that it may take whatever action it deems appropriate, including intervention in any proceeding and the seeking of any injunction to prohibit such disclosure.

17. All notices required hereunder shall be in writing and may be given personally, by facsimile or prepaid registered mail addressed to the party for which the notice is intended to its address designated hereunder or to such other address as may be substituted therefore from time to time.

The Retailer's address for notice is:

**Retailer Name**  
Retailer Address

The Corporation's address for notice is:

**ATCO Electric Ltd.**  
10035 – 105 Street  
P.O. Box 2426  
Edmonton, Alberta, T5J 2V6

Attention:  
Email:

Attention: Customer Care and Billing  
Email: RetailerServices@atcoelectric.com

IN WITNESS WHEREOF the Parties have executed this Agreement as of the day first above mentioned.

**[RETAILER NAME]**

**ATCO Electric Ltd.**

Per: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Per: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_



Page: 52  
Effective: 2024-01-01  
Supersedes: 2023-01-01

**APPENDIX "A"**

MEMORANDUM OF AGREEMENT made the (day) of (month), (year)

APPENDIX "A" to the Retail Service Agreement between <RETAILER NAME>, <RETAILER BUSINESS NUMBER>, and **ATCO Electric**.

**RETAILER IDENTIFICATION NUMBERS**

The following Retailer Identification numbers have been assigned by the ISO to the Retailer noted above as of the effective date noted herein:

- (1)
- (2)
- (3)

The Retailer must notify the Company as promptly as reasonably practical of any additions or changes to the Retailer Identification Numbers. This Appendix "A" supersedes the Appendix "A" made the (day) of (month), (year).

**[RETAILER NAME]**

**ATCO Electric Ltd..**

Per: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Per: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

## **SCHEDULE B – DISCONNECT CUSTOMER SITE**

In accordance with Section 105(1)(k) of the Act a Retailer shall have the right to request that the Company disconnect service to a particular Customer, and the Company shall comply with that request. The Company's policy (as approved in these Terms and Conditions) with respect to disconnecting Customers is set out below.

1. Where a Retailer requests the Company to disconnect a Customer for non-payment, the Retailer shall provide to the Company updated Customer Information, the payment amount the Retailer can accept in the event the Customer provides ability of payment, and a direct phone number to the Retailer's collection department for circumstances when the Customer is required to contact the Retailer immediately to resolve payment issues. The Company will not assume any billing or collection obligations or responsibilities for or on behalf of the Retailer.
2. Unless otherwise directed by the Retailer, the Company:
  - (a) will schedule a disconnect between 8 AM and 5 PM;
  - (b) will not disconnect on Friday, Saturday, Sunday, a legal holiday, or a day before a legal holiday; and
  - (c) in certain remote areas where travel is difficult, will schedule the disconnect on one day of the month.
3. In accordance with the *Distribution Tariff Regulation*, A.R. 162/2003, as may be amended from time to time, the Company will not disconnect a residential or farm premise between October 15 to April 15, or between April 16 to October 14 when the overnight temperature is forecast to drop below zero (0) degree Celsius in the 24-hour period immediately following the proposed disconnection within the ATCO Electric service area. However, ATCO Electric reserves the right to activate remote load-limiting functionality to restrict the Customer's energy consumption. Any disconnection requests received from a Retailer during this period will be treated as a failed disconnect attempt and administered under the Schedule of Supplementary Service Charges.
4. The Company may not disconnect a premise if it houses elderly people or contains medical equipment.

- 
5. The Company will not disconnect a premise if the community at large or the occupant is under bereavement.
  6. A request to disconnect a Customer located in an REA or First Nation area may be reviewed and discussed with the applicable REA Board, Band Council, or Metis Settlement Council before the disconnect is undertaken.
  7. The Retailer shall provide the Company and Customer with a written notice at least ten (10) Business Days in advance of the proposed disconnect.
  8. The Company will not disconnect if the Customer produces a receipt showing it has paid the most current bill, or amount specified in part 1 of this Agreement, of the Retailer.
  9. The Retailer shall remain responsible for Electricity Services to the Customer until a replacement Retailer is appointed and in place for the Customer or until that Customer is disconnected, whichever is earlier.
  10. The Company will provide to a previous Retailer of Record at the Site the right to request a disconnect for a period of 8 months since it last provided Electric Distribution Service at the Site.
  11. The Company may upon visiting the Site delay the disconnection until the Company is satisfied that all conditions for disconnection are met. These may include, but are not limited to:
    - (a) Customer Information does not match Customer Information provided by the Retailer;
    - (b) Customer has payment in hand at the Site and is prepared to meet the payment conditions set by the Retailer.
    - (c) Immediate danger may exist to the occupants or the Company's representative.