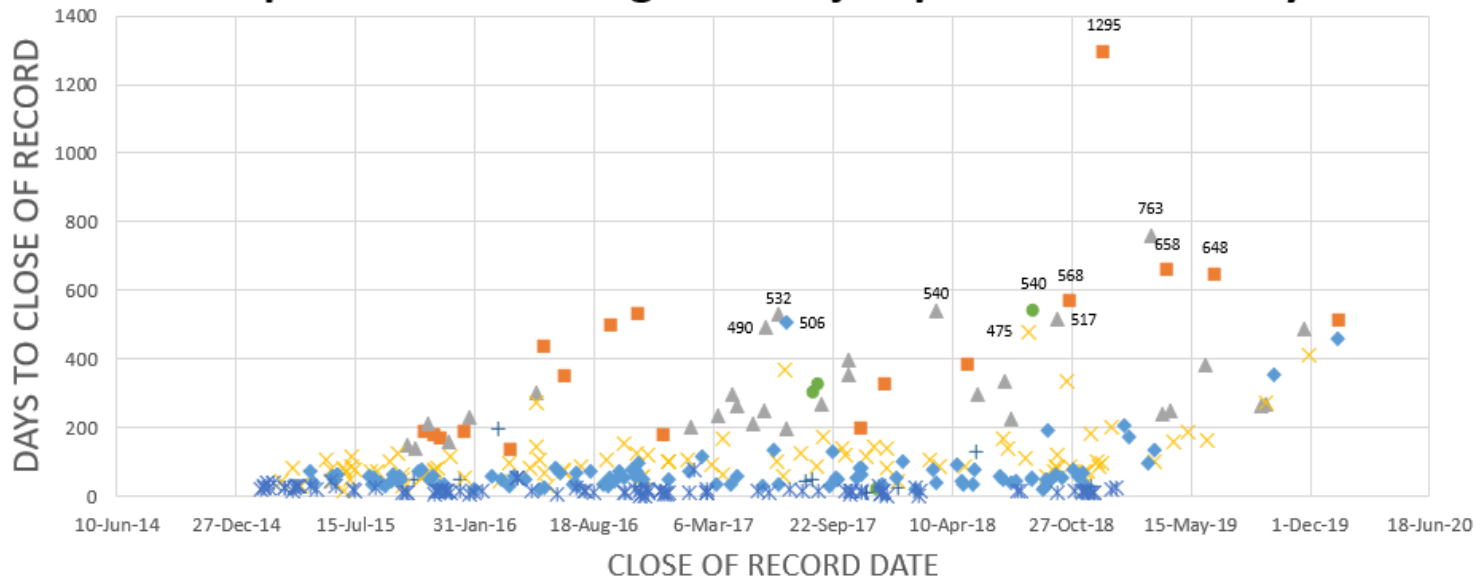


# Alberta Utilities Commission Rates Proceeding Performance

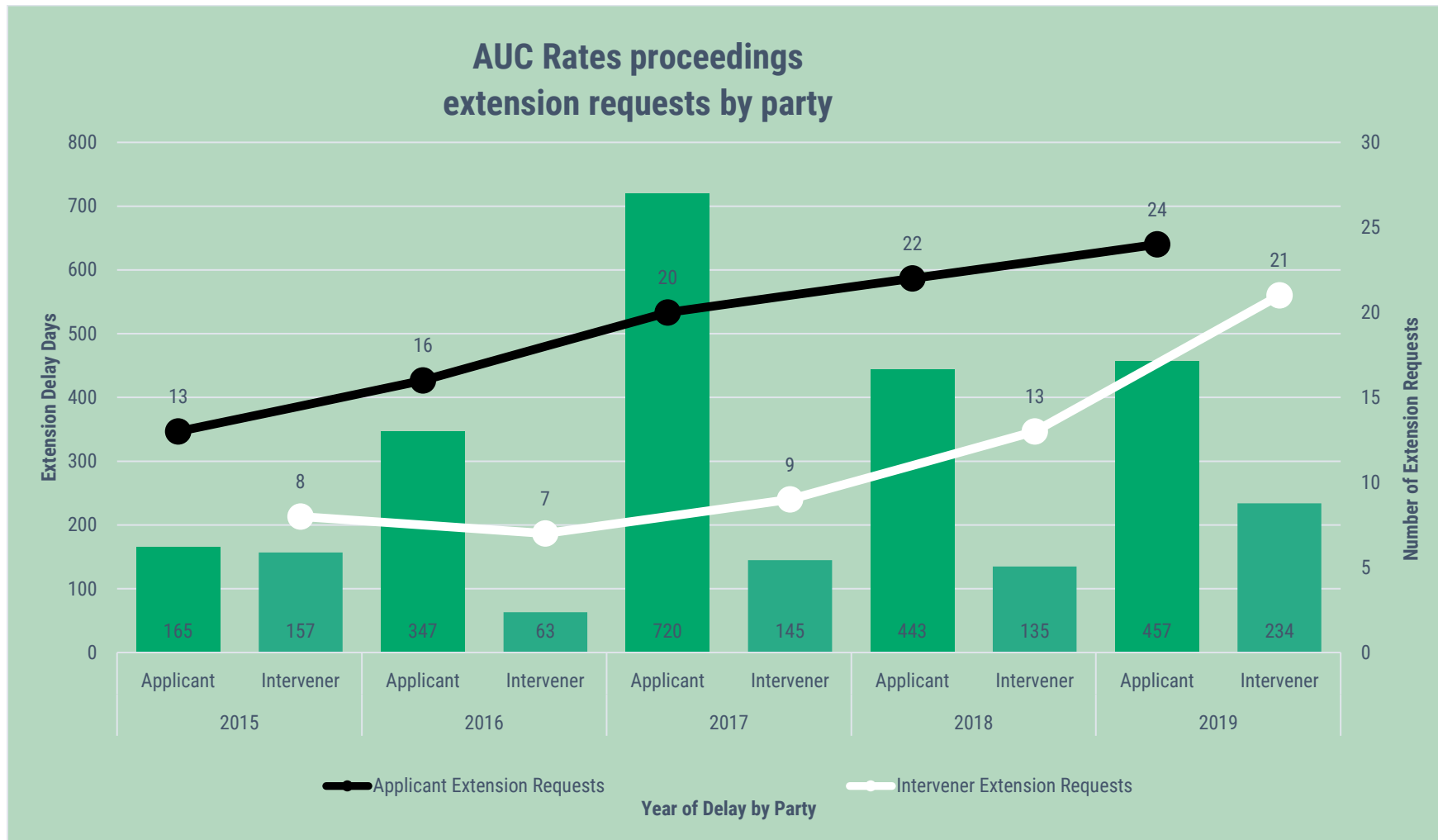
**Most Rates proceedings are processed efficiently.  
A small number of large, complex cases  
experienced a range of major procedural delays**



**Legend: Type of AUC process with schedule deadline in days**

- Full (205)
- ▲ Full Written (172)
- + Minimal Written (152)
- Written (110)
- × Minimal Written (108)
- ◆ Basic Written (75)
- \* Notice (24)

**Industry points to regulatory lag in major rates proceedings as their most significant concern, and the AUC has identified extensions to its process in response to requests from both applicants and interveners as a significant source of delay in AUC proceedings.**

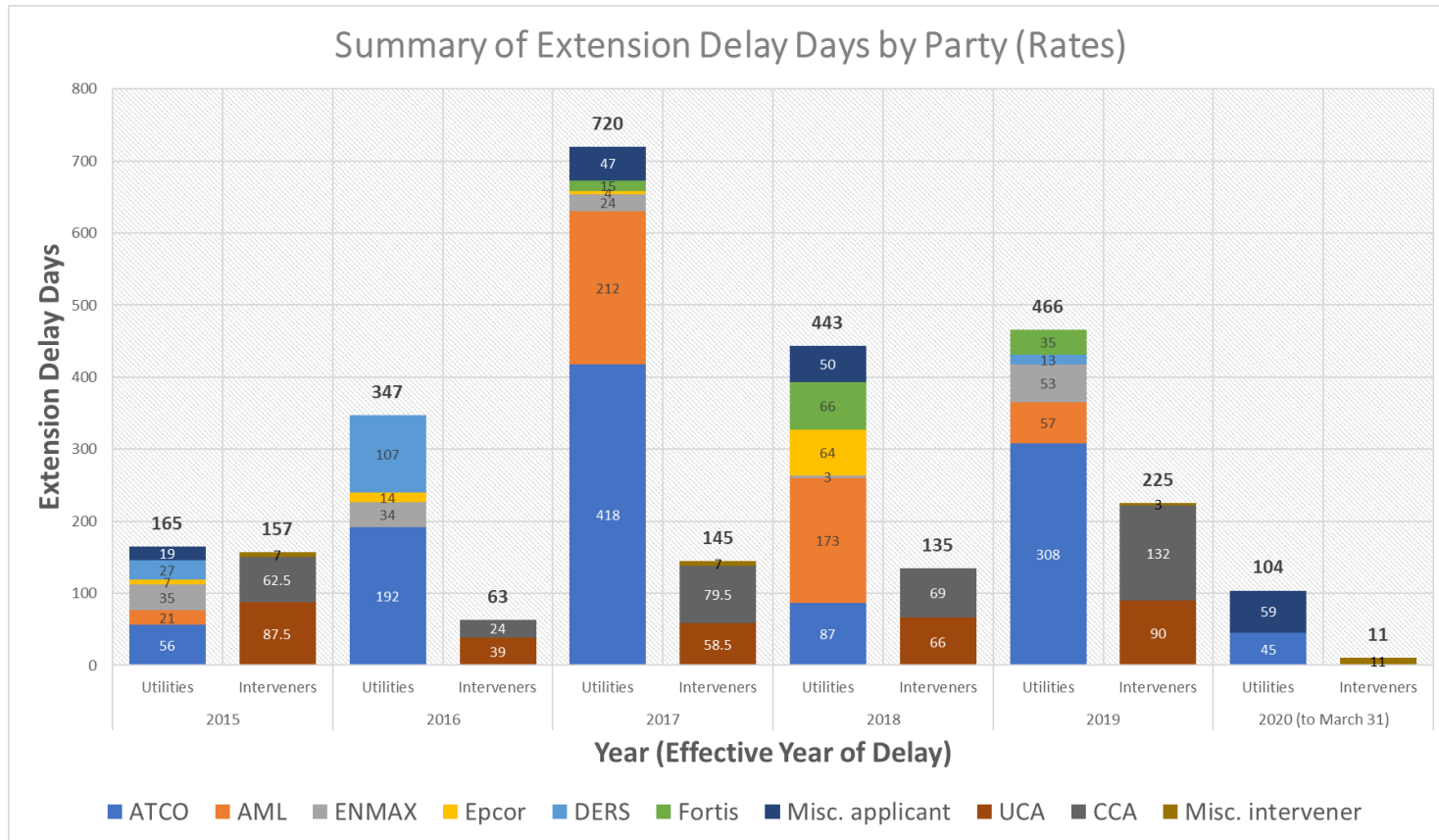


## **Highlights:**

- Extensions granted to both applicants and interveners contribute significant delays to AUC rates proceedings. It also appears that the number of requests for extensions the AUC receives is increasing.
- In every year examined, the Commission granted more extension requests to applicants than interveners. Also in every year, there was more delay (measured in days) associated with applicant requests for extensions than intervener requests. In most years it is clear that both contributed materially to delays.
- The AUC is considering what steps it can take, as the adjudicator, to change this trend.
- The AUC has already implemented concerted steps to address delays:
  - Red Tape Reduction Task Force of AUC vice-chairs and general counsel to track major proceedings.
  - Technical or pre-hearing meetings to clarify scope, issues and reduce information requests; process steps with fixed dates; expedited rulings.
  - Independent, expert, third-party AUC Procedures and Processes Review Committee recommending improvements; report in June 2020.

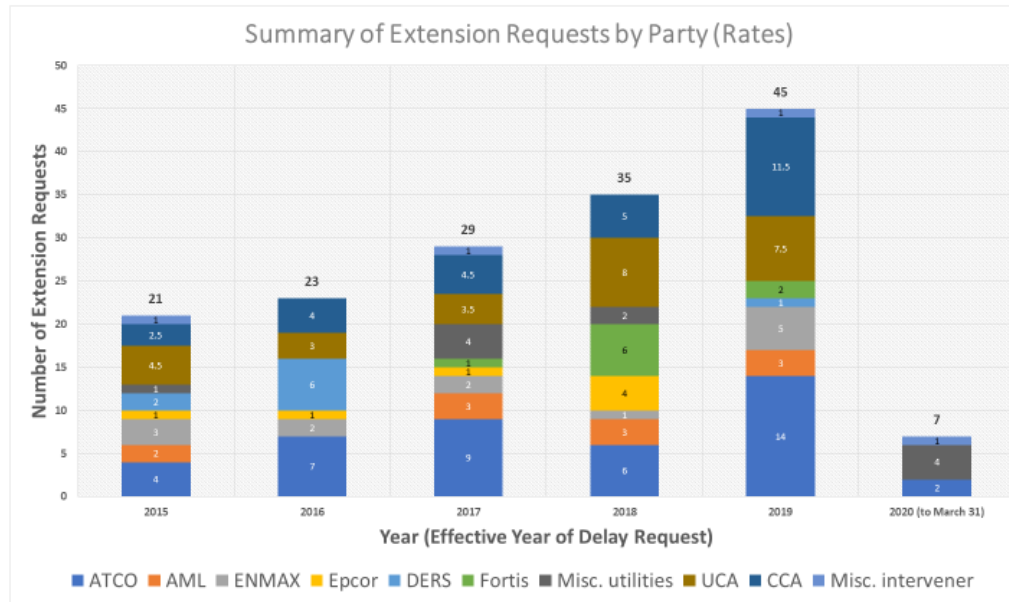
## **Breakdown of delay between applicants and interveners:**

Of the delays in any given year associated with extensions, between 51 per cent and 83 per cent of the delay (measured in days) is attributed to extension requests submitted by applicants. The charts below break down the source of delays attributed to extensions requested by utilities and interveners.



**Delays attributed to applicants' requests for extensions between 2015 and 2019:**

- Between 20 per cent and 66 per cent is attributed to the ATCO Utilities.
- Between zero and 39 per cent is attributed to AltaLink (AML).
- Between zero and 21 per cent is attributed to ENMAX.
- Between zero and 14 per cent is attributed to EPCOR.
- Between zero and 31 per cent is attributed to Direct Energy Regulated Services.
- Between zero and 15 per cent is attributed to Fortis, depending on the year.



**Breakdown of delays between key interveners (Utilities Consumer Advocate, Consumers’ Coalition of Alberta)**

Of the extension delays attributed to interveners, the majority can be attributed to the CCA and the UCA (the two most frequent interveners in rates-related proceedings at the AUC). Of the delays in any given year associated with extensions, between 15 per cent and 49 per cent of the delay, measured in days, is attributed to interveners.

Of the extensions granted by the Commission on an annual basis, between 30 per cent and 47 per cent of the requests were submitted by interveners. Of these:

- Between 39 per cent and 59 per cent are attributed to the CCA.
- Between 40 per cent and 62 per cent are attributed to the UCA, depending on the year.

Of the delay attributed to intervener requests for extensions, between 31 per cent and 58 per cent is attributed to the CCA and between 39 per cent and 62 per cent is attributed to the UCA.